

# Automation and Sledgehammer in Isabelle

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# Overview

- 1 SAT solvers, resolution provers, and SMT solvers
- 2 Tool integration with `sledgehammer`
- 3 Counterexample generators



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# Automated Proof so Far: Simplifier (simp)

- Expressional rewriting of propositions (e.g.,  $x = y \wedge x = y \rightarrow x = y$ )
- Very fast, and can prove many statements.
- Can't generally handle formulae with quantifiers (e.g.,  $\exists x. 2x + 3y > 0$ )
- Classical Reasoner (blast, auto, force, etc.)
- Employs the tableau method to find natural deduction proofs.
- Slower than the simplifier, due to backtracking, but more powerful.
- Can prove many statements involving quantifiers with witnesses.
- However, these tools are often not enough, for example:
  - Finding of suitable witnesses for variables in quantified formulae.
  - Determining the right set of rules to use from HOL's theorem library.
  - Isabelle/HOL integrates reasoning tools for constrained problems.

# Automated Proof so Far: Simplifier (simp)

- Equational rewriting of propositions ( $f(x, y) = g(x, y)$ ).
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# SAT Solvers

- Determine satisfiability of proposition with only Boolean variables.
- $P \vee \neg Q$  – satisfiable, with  $P = \text{True}$  and  $Q = \text{False}$  (the assignment).
- $P \vee \neg P$  – not satisfiable, no value for  $P$  that yields True.
- A SAT solver typically returns:
  - SAT – an assignment or 2. UNSAT
- NP-complete problem.
- Very efficient solvers exist handling millions of variables.
- e.g. MiniSat, xChaff, PicoSAT, BerkMin, Lingeling, Glucose, SAT4J.
- Widely used in hardware verification and circuit design.
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# Resolution Provers

- Resolution: deduction rule + algorithm for proving 1st-order predicates.
- Modern SAT solvers can produce a resolution-based proof of UNSAT.
- Resolution implemented in some 1st-order automated theorem provers: Prover9, E, Vampire, SPASS, Waldmeister, and the *naïve* proof method.
- Clause normal form represents knowledge: conjunction of  $\vee$ -junctions.
- Inherently classical in nature, as it depends on proof by contradiction.

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# Resolution

- `metis` converts HOL proposition into required form, applies resolution.
- Requires all background theorems needed to be passed as hypotheses.
- If a refutation of  $\neg G$  emerges, this is translated to a HOL theorem.

# Resolution

## Algorithm (Outline)

- 1 Hypotheses  $A_1 \cdots A_n$  and goal  $G$ : produce  $A_1 \wedge \cdots \wedge A_n \wedge \neg G$ .
  - 2 Rewrite  $A_i$  and  $G$  into CNF:  $P \wedge (P \longrightarrow Q)$  becomes  $P \wedge (\neg P \vee Q)$ .
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# Resolution Example

- We want to prove  $\text{man}(S), \forall x. \text{man}(x) \rightarrow \text{mortal}(x) \vdash \text{mortal}(S)$ .
- Rewrite to CNF gives
  - $A_1 = \text{man}(S), A_2 = \neg \text{man}(x) \vee \text{mortal}(x), G = \text{mortal}(S)$ .
- We need to show  $A_1, A_2, A_3, \dots, A_n \vdash G$  yields a contradiction.
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# SMT Solvers

- SMT = Satisfiability Modulo Theories
- Extends SAT with further types and decision procedures
  - Quantifiers, linear arithmetic, bit-vectors, arrays, datatypes, records, etc.
- UNSAT: proof term often returned using accompanying AIT
- More readily applicable to program verification
- Examples: Z3, Yices, CVC3, CVC4, veriT
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- `arith` tries both `presburger` and/or `linarith`.

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## Presburger Arithmetic (**presburger**)

- Arithmetic formulae involving only 0, 1, and +, and equality.
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  - **presburger** handles quantifiers using **quantifier elimination** (QE).
  - E.g.  $\neg(\exists x y : \mathbb{N}. 2x + 5y = 3)$  is solvable with **presburger**.
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# Overview

- 1 SAT solvers, resolution provers, and SMT solvers
- 2 Tool integration with `sledgehammer`
- 3 Counterexample generators



# Sledgehammer

- Integration of resolution provers and SMT solvers into Isabelle/HOL.
- Use the “Sledgehammer” pane to apply the tool on an open subgoal.
- Runs several tools in parallel, **reconstructs** output as Isabelle proof.
- Brings benefits of proof automation to interactive theorem proving.
- Does so in a **safe** way – there is no need to **trust** the external tools.

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- 1 Formulate a theorem we want to prove:

`lemma "(A < B) = (A ≠ B ∧ (∀ x ∈ A. x ∈ B))"`

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Provers:  ☐ Isar proofs ☐ Try methods    100%

Proof found...

"cvc4": Try this: by (metis (no\_types, lifting) less\_le subset\_iff) (10 ms)

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Console

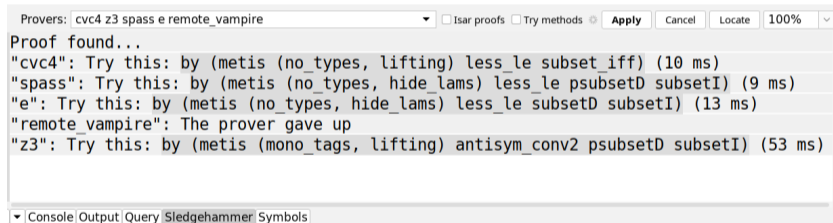
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The screenshot shows the Sledgehammer interface. At the top, the 'Provers' dropdown is set to 'cvc4 z3 spass e remote\_vampire'. There are checkboxes for 'Isar proofs' and 'Try methods', and buttons for 'Apply', 'Cancel', and 'Locate'. A progress bar shows '100%'. Below this, the text 'Proof found...' is displayed. The results are listed as follows:

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At the bottom, there is a tabbed interface with 'Console', 'Output', 'Query', 'Sledgehammer', and 'Symbols' tabs. The 'Sledgehammer' tab is currently selected.

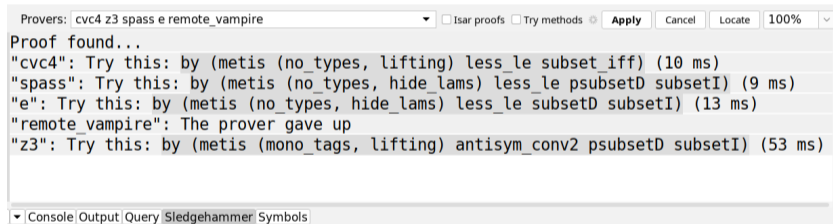
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- 1 Find background theorems important for proof with **relevance filtering**.
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- `metis`: built-in resolution prover. Solves goal with small theorem set.
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# Sledgehammer Applications

- Best suited to first-order, algebraic, and equational proof obligations.
- `sledgehammer` doesn't handle higher-order techniques like induction.
- Useful for finding suitable lemmas for proofs.
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lemma rat_prop:
  fixes x :: rat
  shows "x2 - 3*x + 2 < 0  $\longrightarrow$  x > 0"
  by (metis add_less_zeroD add_neg_neg
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lemma tl_element:
  assumes "x  $\in$  set xs" "x  $\neq$  hd(xs)"
  shows "x  $\in$  set(tl(xs))"
  by (metis assms(1) assms(2) list.exhaust_sel
    list.sel(2) set_ConsD)
```

# Sledgehammer Examples (2)

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```
lemma sorted_distinct:
  assumes "sorted xs" "distinct xs"
  shows "( $\forall$  i < length xs - 1. xs i < xs(i + 1))"
using assms proof (induct xs)
  case Nil
  then show ?case by simp
next
  case (Cons a xs)
  then show ?case
    by (simp, metis Suc_leI Suc_le_lessD diff_less
      less_nat_zero_code linorder_le_less_linear
      not_one_le_zero nth_Cons' nth_Cons_Suc
      nth_equal_first_eq order_less_le sorted_wrt_nth_less
      strict_sorted_iff)
qed
```

# Overview

- 1 SAT solvers, resolution provers, and SMT solvers
- 2 Tool integration with `sledgehammer`
- 3 Counterexample generators



# Counterexamples

- Automated theorem provers may fail to prove a goal you believe is true.
- Could be (1) some lemmas are missing or (2) the goal is actually false.
- A counterexample is a variable assignment that falsifies a theorem.

Wrong: " $\forall x:\text{nat}. x > 5$ "

- This states that all natural numbers are greater than 5. Not possible.
- Possible counterexamples:  $x = 0$ ,  $x = 1$ ,  $x = 2$  etc.
- Counterexample generators automatically generate 0 and 1.

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- Generates counterexamples using the code generator
- Inspired by the Haskell random testing tool QuickCheck
- Tests randomly, exhaustively (up to a bound), or symbolically
- Run automatically when theorem is specified, or by

```
wrong = ?(x: nat) > 5
```

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```
xs = [a]
```

```
ys = [b]
```

Evaluated terms:

```
rev (xs @ ys) = [b, a]
```

```
rev xs @ rev ys = [a, b]
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# Constraint Solving with Quickcheck

- No list has five distinct elements that are all even:
- **quickcheck** finds the following counterexample:

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xs = [8, 6, 4, 2, 0].
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- Counterexample generator based on a SAT solver.
- Negate the theorem, and pass to the Kodkod relational constraint solver.
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- Example: Hotel Key Card problem.
- Tries to find a finite model that falsifies the theorem.
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